



Docket No.: 04362/100M494-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
John Van Horst et al.

Application No.: 10/826,877

Confirmation No.: 1437

Filed: April 16, 2004

Art Unit:

For: METHOD AND SYSTEM FOR AUTOMATED
ELECTRONIC TRADING IN FINANCIAL
MATTERS

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 28, 2004, Applicant respectfully submits a Combined Declaration and Power of Attorney, an Application Data Sheet, and Part 2 Copy of Notice.

Enclosed is our check covering the utility fee (\$385), extra claim fee (\$172), surcharge (\$65) set forth in 37 CFR 1.16(e). The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: July 27, 2004

Respectfully submitted,

By Chenab Brenson

Chanah Brenenson

Registration No.: 47,442

DARBY & DARBY P.C.

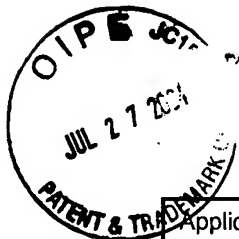
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Attorneys/Agents For Applicant



Application No. (if known): 10/826,877

Attorney Docket No.: 04362/100M494-US1

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. 996120466-US in an envelope addressed to:

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on July 27, 2004
Date

Signature

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

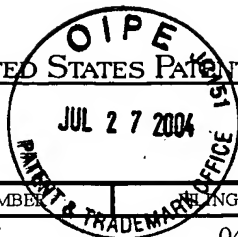
Response to Notice to File Missing Parts of Application (2 pages)
Combined Declaration and Power of Attorney (4 pages)
Application Data Sheet (3pgs)
Part 2 Copy of Notice
Recordation Form Cover Sheet (1 page)
Assignment (3 pages)
Fee Transmittal (1 page)
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APPLICATION NUMBER	INVENTOR OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/826,877	04/16/2004	John Van Horst	04362/100M494-US1

07278
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CONFIRMATION NO. 1437

FORMALITIES LETTER



OC000000013083435

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/30/2004 GWORDF1 00000005 10826877

FILED UNDER 37 CFR 1.53(b)

01 FC:2001	385.00 OP
02 FC:2201	172.00 OP
03 FC:2202	9.00 OP
04 FC:2051	65.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$181 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$631** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$181

- \$172 for 4 independent claims over 3.
- \$9 for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

A copy of this notice MUST be returned with the reply.

TH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE